THE UNITED STATES PATENT AND TRADEMARK OFFICE

)

)

)

Examiner:

Group Art Unit: Not Yet Assigned

1450, Alexandria, VA 22313-1450 on:

Not Yet Assigned

10/8/03

INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT this correspondence or fee is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box

MARSH FISCHMANN & BREYFOGLE LLP

In Rethe Application of:

Brian Eugene Baldwin

Serial No.: 10/630,059

Filed Date: July 30, 2003

Confirmation No.: Not Yet Assigned

Atty. Docket No.: 50012-00027

For: METHOD, SYSTEM, AND

APPARATUS FOR HANDLING,

LABELING, FILLING, AND

CAPPING SYRINGES

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. § 1.56 and 1.97-1.98, Applicant hereby submits the enclosed PTO Form 1449. The documents identified herein were of record in U.S. Patent Application No. 09/310,595, now U.S. Patent No. 6,304,412, and since the above-identified patent application is a divisional thereof, copies of the identified documents are not being submitted under 37 C.F.R. §1.98(d). Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Moreover, the inclusion of these documents are not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By:

Thomas R. Marsh, Esq.

3151 South Vaughn Way, Suite 411

Aurora, Colorado 80014 Atty. Reg. No.: 31,039

Telephone:

(303) 338-0997

- Mars

Facsimile:

(303) 338-1514

Date: October 8, 2003

•		,			ATTY DOCKET NO. 50012-00027	5	SERIAL NO. 10/630,059				
	INFO	RMA	TION DISCLOSURE se several sheets if necessa	CITATION	Brian Eugene Baldwin et al.						
		(Us	se several speets if necessa	m Siyle		FILING		GROUP			
			nct 1 4 20	103 H		July 30, 2003			N/A		
		, ——	EH.	U.S	. PATENT	DOCUMENTS					
*EXAMINER INITIAL			DOCUMENT NUMBER ADEN	DATE		NAME	CLASS	SUBCLASS	FILING DATI		
	1.	3,59	7,826	08/10/1971	Shields		29	208 B			
	2.	3,59	9,389	08/17/1971	Hartman		53 51				
	3.	3,65	3,176	04/04/1972	Gess		53	64			
	4.	3,66	2,517	05/16/1972	Tascher	et al.	53	282			
	5.	3,68	3,483	08/15/1972	Klettke		29	208 B			
	6.	3,70	8,945	01/09/1973	Klettke		53	22 R			
	7.	3,79	1,009	02/12/1974	Gess		29	208 B			
	8.	3,80	1,291	04/02/1974	Shields		29	208 B			
	9.	3,802,987		04/09/1974	Noll		156	296			
	10.	3,807,119		04/30/1974	Shields		53	22 R			
	11.	3,823,818		07/16/1974	Shaw		206	390			
				FORE	IGN PATE	NT DOCUMENTS					
			DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
•						* W					
	\vdash										
		1	OTHER DOCUME	NTS (Includin	ng Authoi	r, Title, Date, Pertinent F	Pages, Etc.	.)			
EXAMINER						DATE CONSIDERED					
*EXAMINE considered	R: Init	ial if ref	ference considered, whether by of this form with next con	er or not citation is nmunication to ap	in conforn	nance with MPEP 609; Draw	line through o	citation if not in c	onformance	and not	

•	INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)					ATTY DOCKET NO. 50012-00027		SERIAL NO. 10/630,059			
	INFO	ORMA	ATION DISCLOSURE	CHAFTON		Brian Eugene Baldwin	et al	10/	330,037		
		(Us	se several sheets if nécess	ary)		FILING		ROUP			
			_B 0	त्र १ ४ २००३ ह		July 30, 2003			N/A		
			E	9/3	. PATENT	DOCUMENTS					
*EXAMINER			DOCUMENT NUMBER	RANEMAN		NAME	CLASS	SUBCLASS	FILING DAT		
	12.	3,83				Gess		98			
	13.	4,62	28,969	12/16/1986	Jurgens	, Jr. et al.	141	1			
	14.	4,11	8,914	10/10/1978	Shields		53	282		· •	
	15.	4,27	71,587	06/09/1981	Shields		29	809			
	16.	4,71	8,463	01/12/1988	Jurgens	, Jr. et al.	141	11		÷	
	17.	4,50	01,306	02/26/1985	Chu et a	al.	141	94			
	18.	4,81	5,625	03/28/1989	Filhol e	t al.	220	23.4			
	19.	5,39	00,469	02/21/1995	Shimizu	et al.	53	53			
	20.	5,884,457		03/23/1999	Ortiz et al.		53	468	i		
	21.	3,228,129		01/11/1966	Gwinn et al.		40	21			
	22.	3,391,694		07/09/1968	Spaeth		128	218			
				FORE	IGN PATE	NT DOCUMENTS					
			DOCUMENT NUMBER	DATE		COUNTRY		SUBCLASS	TRANS YES	LATION NO	
•						***************************************	-				
		 									
			······································					<u> </u>			
	L	<u> </u>	OTHER DOCUME	NTS (Includin	g Author	, Title, Date, Pertinent F	Pages, Etc.)				

EXAMINE	R					DATE CONSIDERED					
			ference considered, whethe by of this form with next con			nance with MPEP 609; Draw I	ine through ci	tation if not in co	onformance	and not	

•		•			ATTY DOCKET NO. 50012-00027		SERIAL NO. 1 0 /	630,059	
	INF	ORMATION DISCLOSUI (Use several sheets if nec	RE CITATION E		Brian Eugene Baldwir	n et al.			
				7095	FILING	- (GROUP		
			UCI 1 4 2	JUS	July 30, 2003			N/A	
		_	U.	S. PATENT	DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	0.1 U.S	BK 9	NAME	CLASS	SUBCLASS	FILING DAT	
	23.	3,698,383	10/17/1972	Baucon	n	128	2 G		
	24.	3,878,967	04/22/1975	Joslin e	t al.	221	88		
	25.	3,885,562	05/27/1975	Lampk	in	128	218 R		
	26.	4,312,523	01/26/1982	Haines		283	18		
	27.	4,579,759	04/01/1986	Breuers	s	428	36		
	28.	4,884,827	12/05/1989	Kelley		283	81		
	29.	4,921,277	05/01/1990	McDon	ough	283	81		
	30.	5,071,168	12/10/1991	Shamos		283	117		
	31.	4,372,681	02/08/1983	Sallenb	ach	356	72		
	32.	4,763,930	08/16/1988	Matney	,	283	81		
	33.	4,658,974	04/21/1987	Fujita e	et al.	215	12 R		
		_	FORE	IGN PATE	ENT DOCUMENTS				
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	LATION NO
								123	110
٠									
			-		· · · · · · · · · · · · · · · · · · ·				
-									
			-						
		OTHER DOCU	MENTS (Includin	l ng Author	r, Title, Date, Pertinent	L Pages, Etc.,	_)	<u> </u>	
EXAMINE	₹				DATE CONSIDERED				
		al if reference considered, whe			nance with MPEP 609; Draw	line through ci	tation if not in o	onformance	and not

Form PTO-A820 (also form PTO-1449)

SERIAL NO. ATTY DOCKET NO. 10/630,059 INFORMATION DISCLOSURE CITATION 50012-00027 Brian Eugene Baldwin et al. (Use several sheets if neces GROUP FILING OCT 1 4 2003 July 30, 2003 N/A **U.S. PATENT DOCUMENTS** DOCUMENT NUMBER *EXAMINER FILING DATE SUBCLASS CLASS NAME DATE IF APPROPRIATE INITIAL 34. 05/04/1976 Cavanagh et al. 428 35 3,955,020 35. 40 310 4,115,939 09/26/1978 Marks 283 072 36. 11/21/1995 Linder et al. 5,468,022 428 41 37. 02/01/1994 5,283,093 All 38. 07/14/1981 van Rossem 206 45.34 4,278,167 40 21 R 39. 11/30/1976 Groselak et al. 3,994,085 40. 11/22/1994 482 136 5,366,429 Jones 41. 05/02/1995 40 1.5 Smith 5,410,827 42. 222 1 5,024,347 06/18/1991 Baldwin 43. 10/27/1992 Mini et al. 53 148 5,157,894 44. 06/16/1998 53 453 5,765,345 Svec **FOREIGN PATENT DOCUMENTS** TRANSLATION CLASS SUBCLASS DOCUMENT NUMBER DATE COUNTRY YES NO **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

•		•				ATTY DOCKET NO. 50012-00027	S	SERIAL NO. 10/630,059			
	INFO	RMA [*] Us	TION DISCEOSURE Se several sneets if necessar	CHATION		Brian Eugene Baldwin et al.					
		,00	OCT 1 4 20	אל או אי או		FILING July 30, 2003		GROUP N/A			
			A STATE OF THE STA	7.9	. PATENT	DOCUMENTS	<u> </u>		11.4.2		
*EXAMINER		<u> </u>	DOCUMENT NUMBERBADEN	DATE	NAME		CLASS	SUBCLASS	FILING DATI		
HALL M. Jon	45.	5,609	9,712	03/11/1997	Takumi		156	298		MY. L	
	46.	4,907,394		03/13/1990	Tschepke et al.		53	412			
	47.	4,167	7,229	09/11/1979	Keusch	et al.	206	343			
	48.	4,142	2,633	03/06/1979	Raghav	achari et al.	206	366			
	49.	4,135	5,561	01/23/1979	Senelon	ge	141	234			
	50.	4,842	2,028	06/27/1989	Kaufma	n et al.	141	114			
	51.	5,651	1,775	07/29/1997	Walker	et al.	604	207			
	52.	5,692	2,640	12/02/1997	Caulfield et al.		221	70			
	53.	5,954,700		09/21/1999	Kovelman		604	232			
	54.	5,984,901		11/16/1999	Sudo et al.		604	227			
	55.	6,070	0,761	06/06/2000	Bloom et al.		222	81			
				FORE	IGN PATE	NT DOCUMENTS					
			DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSI YES	LATION NO	
•											
•											
			OTHER DOCUMEN	ITS (Includin	g Author	, Title, Date, Pertinent F	ages, Etc.)				
EXAMINER	\$					DATE CONSIDERED					
			erence considered, whether y of this form with next com			ance with MPEP 609; Draw li	ne through cit	ation if not in co	onformance	and not	

Form PTO-A820 (also form PTO-1449)

• • •								Docket Number (Optional) 50012-00027			Application Number 10/630,059			
1	INFC	RMA	TION I	DISCI	OSUPE	ETTATION	1	Applicant(s)	ene Baldwin	et al	10/0	030,039		
		(Use sever	ai sheet	r i j v ecessa	7095		Filing Date	ene Daidwin	Ct ai.	Group Art Unit			
- 4 L MB									uly 30, 2003			N/A		
				100) }	EE.	U.S. PA	TENT DOCUM	ENTS					
*EXAMINER INITIAL	REF		DOCUMENT NUMBERS TRAI		DOCUMENT NUMBERS TRAI		DEMARKATE		NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	56.	2,19	2,194,220			03/19/194	0 Elder		40		21			
									,					
			,,,,											
													• •	
									,					
	l <u>-</u> .					<u> </u>	FOREI	GN PATENT DO	OCUMENTS			•		
	REF		DOCUME	NT NUM	BER	DATE		COUNTRY	COUNTRY		SUBCLASS	Trans YES	lation NO	
	57.	wo	98/326	90		07/30/199	8 PCT		Marie I	B67C	67/00		>	
								•	·					
•						-								
									- 4 mc			:		
	I	<u> </u>					ОТНЕ	DOCUMENTS	(Including)	Author, Title,	Date, Pertinent Pa	iges, Etc.)		
EXAMINE	R							DATE CONSIDERED						
						or not citation communication			Section 609; D	raw line thr	ough citation if no	t in conform	ance and	